



Socially Responsible Investment and Canadian Extractive Industries

**A symposium to explore financial, legal and policy issues of SRI relating
to Canadian oil, gas and mining companies**

**National Centre for Business Law and Centre for Law & the Environment,
Faculty of Law, University of British Columbia, 1822 East Mall, Vancouver**

September 10-11, 2011

Saturday

9.00 - 9.15 **Welcome and Introductions**
Benjamin J. Richardson, UBC, Faculty of Law

Part 1 – Setting the Context: Policy and Legal

9.15 - 10.15 **Keynote Address**
Mac Van Wielingen, Co-Chairman, Director and Founder,
ARC Financial Corporation

10.15 - 10.30 Health Break and Informal Discussion

10.30 - 11:15 **Legal Framework and Considerations for Raising Finance in
the Extractives Sector from a SRI/CSR Perspective**
Sam Adkins, McCarthy Tétrault LLP

11.15 - 12.00 **Voluntary Codes of Conduct for Responsible Financing in
Relation to Extractive Industries**
Professor Benjamin Richardson, UBC Faculty of Law

12.00 - 1.00 Lunch Break and Informal Discussion

Part 2 – Social Investors' Tools

- 1.00 - 1.45** **Acting Like You Own the Company: An Investor Perspective on Extractives Engagement**
Michelle de Cordova, NEI Investments
- 1.45 - 2.30** **Retail Ffffund votesund Voting and Engagement on SRI in Extractive Industries**
Jackie Cook, Fund Votes & Laura O’Neill, Shareholder Association for Research and Education (SHARE)
- 2:30 - 3:15** **Shareholder Engagement in the Extractive Sector**
Rupert Allen & Dr Tessa Hebb, Carleton Centre for Community Innovation, Carleton University
- 3.15 - 3.45** Health Break and Informal Discussion
- 3.45 - 4.30** **Sustainability Risk Assessment in Financing the Extractive Industries**
Professor Olaf Weber, University of Waterloo
- 4.30 - 5.15** **CSR as Sustainability Risk Management**
Jim Cooney, Senior Associate, Canadian Business for Social Responsibility
- 6.00 - 8.30** **Dinner (Sage Bistro, 6331 Crescent Road, UBC campus)**

Sunday

Part 3 – Community Stakeholders

- 9.00 - 9.45** **Free, Prior and Informed Consent and Indigenous Relations in the Mining Industry**
Irene Sosa, Jantzi Sustainalytics
- 9.45 - 10.30** **The Secwepemc Communities of T’kemlups and Skeetchestn, New Afton Mine, and the Province of British Columbia: A Series of Negotiated Agreements**
Dawn Mills, UBC Norman B. Keevil Institute of Mining Engineering
- 10.30 - 11.00** Health Break and Informal Discussion
- 11.00 - 11.45** **Mining, Human Rights and the SRI Industry: An Examination of Community Opposition to Shareholder Resolutions**
Dr Catherine Coumans, MiningWatch Canada
- 11.45 – 12.30** **Questions and Discussion**
12.30 – 1.15 Lunch Break

